



Empowering Our Clients to Create and Achieve Purposeful Legacies of Wealth beyond Mere Riches

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Robert G. Black, Jr.
Founding Member

September 3, 2026 - Privacy Policy, Offer of Form ADV and Additional Disclosures

PRIVACY STATEMENT

Legacy Planning Advisors, LLC. ("LPA") appreciates the trust you place in us, and we respect your right to privacy. We are committed to safeguarding the personal information you entrust to us.

At LPA we believe that it is important you understand the uses and safeguarding of your personal information.

LPA receives information:

- From paperwork you provide such as Client Account Forms and product applications;
- From conversations, discussions and interviews; and
- From product or service vendors, as a result of your transactions with LPA.

At LPA we:

- Maintain all client records in a secured environment;
- Protect computer programs through physical and electronic safeguards; and
- Limit access to your personal information to responsible employees who require access to that information in order to act on your behalf.

LPA may disclose certain types of information to qualified entities that perform administrative services on our behalf, and as required or permitted by law for legal or regulatory purposes. The information that falls within this category is:

- Information provided by you on Client Account Forms and product applications;
- Information provided by product or service vendors, as a result of your transactions with LPA.

The parties to whom we may share your personal information include:

- Entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, or insurance company;
- Affiliated entities which provide services directly to you or which provide services to us;
- Third parties that perform services on our behalf;
- Your attorney, trustee or anyone who represents you in a fiduciary capacity;
- Our attorneys, accountants or auditors; and
- Government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

LPA maintains physical, electronic and procedural safeguards to help ensure that your personal information is safe and accessed only according to these policies, and we will continue to make safeguarding your privacy our highest priority. These safeguards we have implemented are intended to:

- Ensure the security and confidentiality of client records and information;
- Protect against any anticipated threats or hazards to the security or integrity of such records; and
- Protect against unauthorized access to or use of such records or information which could result in substantial harm or inconvenience to any client.

If you decide to close your LPA account(s), we will continue to safeguard your personal information. LPA will destroy the confidential information of former clients in a secure manner (including shredding, burning, processing through a secure recycling facility, etc.) to ensure the continued confidentiality of that information after record retention periods expire.

Securities offered through The Strategic Financial Alliance, Inc., a registered broker-dealer, member FINRA and SIPC.
Investment advisory services offered through Legacy Planning Advisors LLC., a registered investment advisor.
The Strategic Financial Alliance, Inc. is not affiliated with Legacy Planning Advisors, LLC.

LPA provides training to its representatives, who are obligated to take reasonable steps to protect, safeguard and properly dispose of personal information about you.

LPA does not otherwise share your information with non-affiliated third parties for marketing purposes.

If you should have any questions regarding any aspect of this Privacy Statement, please call our offices at 770-919-2075.

You will receive a copy of this Privacy Statement on an annual basis. Additionally, if we change our privacy policy as described herein, you will receive a revised Policy Statement.

ADDITIONAL DISCLOSURES

ELECTRONIC DELIVERY OF INFORMATION

- If you send personal, non-public information to your Financial Advisor via e-mail, please use only secure and/or encrypted e-mail. If you need assistance with sending information via a secure method please contact our office.
- If you have any doubts about the authenticity of an e-mail purportedly sent by LPA, please contact us immediately.

PUBLIC DISCLOSURE –

- You may access more information, including an investor brochure that includes information by visiting www.adviserinfo.sec.gov.

FORM ADV PART 2A DISCLOSURE BROCHURE

To view LPA's Form ADV Part 2A, visit LPA's website (www.legacyplanningadvisors.com), click on "Disclosure" at the bottom of the Home Page for a listing of documents.

Business Continuity Plan

Legacy Planning Advisors, LLC., has a plan to prevent or mitigate potential business disruption. Since the timing and severity of events that can lead to business disruption are unpredictable, our plan is comprehensive, continually evolving, and practiced on an ongoing basis.

Effective January 1, 2018 Legacy Planning Group, Inc. (LPG) became Legacy Planning Advisors, LLC. (LPA) as three long term employees purchased a percentage of ownership and the existing company (LPG) changed its legal structure to become a Limited Liability Corporation filing as an S Corp.

Code of Ethics

Legacy Planning Advisors, LLC., maintains a Code of Ethics governing its relationship with clients. If you would like a copy, please contact us.

Offer of Form ADV

Pursuant to the Securities Exchange Commission Brochure Rule 204-3, of the Investment Advisors Act of 1940, investment advisors are required to offer a copy of the Registered Investment Adviser Form ADV, Part II to every advisory client on an annual basis.

No material changes have been made to our Form ADV Part II since September 3, 2025 when we last sent it to clients.

Please email us if you are interested in receiving an updated copy of Legacy Planning Advisors, LLC Investment Advisory Brochure.